Lecture 3: Privacy

CMSC 25910
Spring 2023
The University of Chicago





https://archive.nytimes.com/www.nytimes.com/external/readwrite web/2010/01/10/10readwriteweb-facebooks-zuckerberg-saysthe-age-of-privac-82963.html



https://www.forbes.com/sites/jacobmorgan/2014/08/19/privacy-iscompletely-and-utterly-dead-and-we-killed-it/

"Have you read the terms and conditions to use Facebook? Your smart phone? Most people have not, and probably with good reason... each of us knows that we're signing away our privacy rights to use these platforms and devices. So why do we do it? We don't truly value privacy as much as we like to believe we do."



https://www.forbes.com/sites/neilsahota/2020/10/14/privacy-is-dead-and-most-people-really-dont-care/

"You have zero privacy anyway. Get over it."

Scott McNealy, Former CEO of Sun Microsystems (1999)

https://www.wired.com/1999/01/sun-on-privacy-get-over-it/

"If you have something that you don't want anyone to know, maybe you shouldn't be doing it in the first place, but if you really need that kind of privacy, the reality is that search engines including Google do retain this information for some time... that information could be made available to the authorities."

Eric Schmidt, Former CEO of Google (2009)

https://www.pcworld.com/article/515472/googles_schmidt_roaste d_for_privacy_comments.html



https://www.datacenterdynamics.com/en/opinions/privacy-bigdata-world-it-dead-or-alive/

Privacy

"Some might say 'I don't care if they violate my privacy; I've got nothing to hide.' Help them understand that they are misunderstanding the fundamental nature of human rights. Nobody needs to justify why they 'need' a right: the burden of justification falls on the one seeking to infringe upon the right."

"Arguing that you don't care about the right to privacy because you have nothing to hide is no different than saying you don't care about free speech because you have nothing to say."

Edward Snowden, Whistleblower (2015)

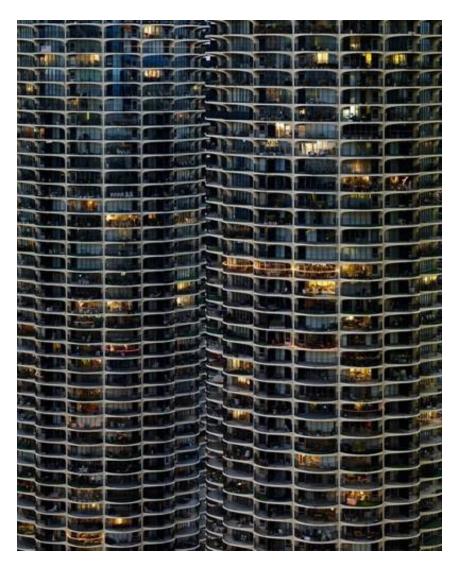
https://www.reddit.com/r/IAmA/comments/36ru89/just_days_left_to_kill _mass_surveillance_under/crglgh2/

Privacy is Hard to Define

"Privacy is a value so complex, so entangled in competing and contradictory dimensions, so engorged with various and distinct meanings, that I sometimes despair whether it can be usefully addressed at all."

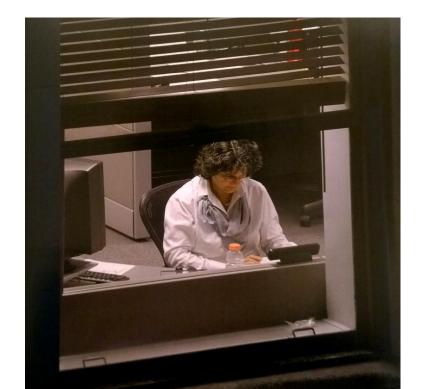
Robert C. Post, Three Concepts of Privacy, 89 Geo. L.J. 2087 (2001).



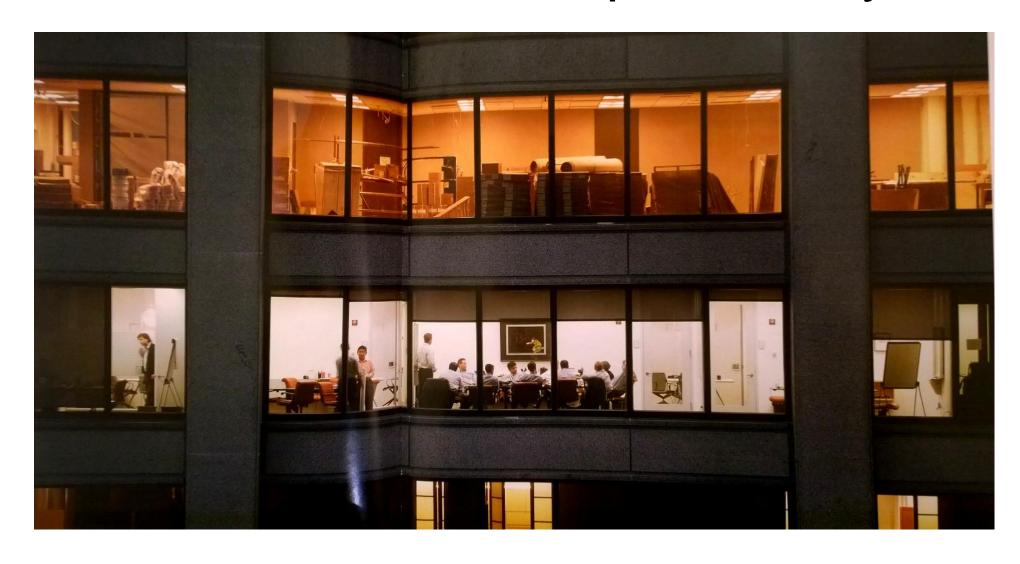








"Chicago has recently undergone a surge of new construction...In early 2007, the Museum of Contemporary Photography...invited Michael Wolf as an artist-in-residence....Wolf chose to photograph the central downtown area, focusing on issues of voyeurism and the contemporary urban landscape....his details are fragments of life—digitally distorted and hyper-enlarged—snatched surreptitiously via telephoto lenses http://aperture.org/shop/the-transparent-city/









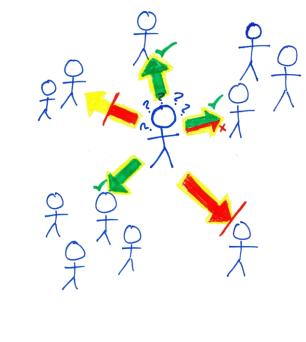








Conceptualizing Privacy

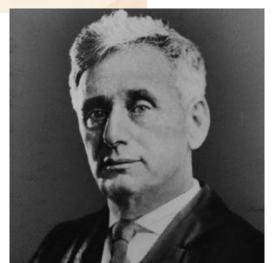






Warren and Brandeis (1890)





HARVARD

LAW REVIEW.

VOL. IV.

DECEMBER 15, 1890.

No. 5.

THE RIGHT TO PRIVACY.

"It could be done only on principles of private justice, moral fitness, and public convenience, which, when applied to a new subject, make common law without a precedent; much more when received and approved by usage."

WILLES, J., in Millar v. Taylor, 4 Burr. 2303, 2312.

THAT the individual shall have full protection in person and in property is a principle as old as the common law; but it has been found necessary from time to time to define anew the exact nature and extent of such protection. Political, social, and economic changes entail the recognition of new rights, and the common law, in its eternal youth, grows to meet the demands of society. Thus, in very early times, the law gave a remedy only

Warren and Brandeis's Inspiration



"Have you seen the Kodak fiend? Well, he has seen you. He caught your expression yesterday while you were recently talking at the Post Office. He has taken you at a disadvantage and transfixed your uncouth position and passed it on to be laughed at by friend and foe alike. His click is heard on every hand. He is merciless and omnipresent."

The Hawaiian Gazette, 1890

https://wirewheel.io/privacy-is-dead/

Warren and Brandeis's Argument

- "The individual shall have full protection in person and in property"
- The legal basis for fear
 - Battery → assault
 - Tangible property → intangible property
- Gossip pages about high society

Warren and Brandeis's Argument

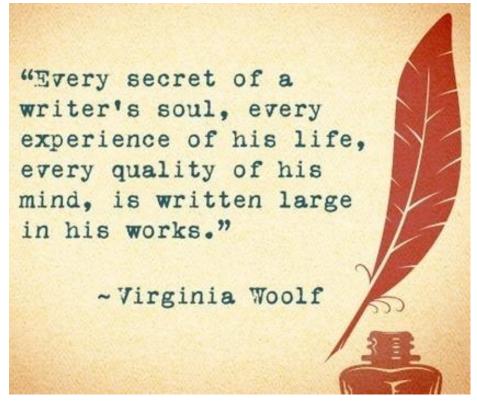
- Libel and slander are insufficient in considering only damage to reputation
- Considers property rights
- The right to <u>prevent</u>, rather than profit from, publication
- "The right to be let alone"
- Excludes topics of general interest

Photography Laws

| Consent required for action related to a picture of a person in a public place (by country) | | | |
|---|--------------------------|--------------------------------------|---|
| Country | Take a picture | Publish a picture | Commercially ¹ use a published picture |
| Afghanistan | No | Yes (with exceptions) | Yes (with exceptions) |
| Argentina | No | Yes (with exceptions) | Yes (with exceptions) |
| Australia | No (with exceptions) | No (with exceptions) | Yes |
| Austria | No | No (with exceptions) | Yes |
| Belgium | No | Yes (with exceptions) | Yes |
| Brazil | Yes | Yes | Yes |
| Bulgaria | No | No | Yes |
| Canada | Depends on province | Yes (with exceptions) | Yes |
| China | No | No | Yes |
| Czech Republic | Yes (with exceptions) | Yes (with exceptions) | Yes (with exceptions) |
| Denmark | No | Yes (with exceptions) | Yes (with exceptions) |
| Ethiopia | No | Yes (with exceptions) | Yes |
| Finland | No | Yes (with exceptions) | Yes (with exceptions) |
| France | Yes (with exceptions) | Yes (with exceptions) ^[3] | Yes |
| Germany | No (with exceptions) | Yes (with exceptions) | Yes (with exceptions) |
| Greece | No | No | Yes (with exceptions) |
| Hong Kong | Depends on circumstances | Depends on circumstances | Depends on circumstances |
| Hungary | Yes (with exceptions) | Yes (with exceptions) | Yes (with exceptions) |
| United Kingdom | Depends on circumstances | Depends on circumstances | Depends on circumstances |
| United States | No | No | Usually (although laws differ by state) |

 $https://commons.wikimedia.org/wiki/Commons: Photographs_of_identifiable_people \#The_right_of_publicity$

Is Being "Let Alone" Sufficient?



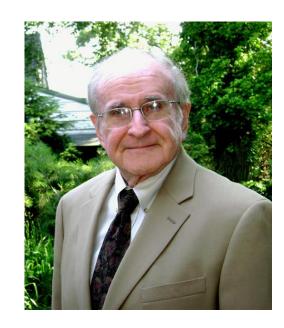


Privacy as Control / Secrecy (1967)

"Privacy is the claim of individuals, groups or institutions to determine for themselves when, how, and to what extent information about them is communicated to others."

"...each individual is continually engaged in a personal adjustment process in which he balances the desire for privacy with the desire for disclosure and communication...."

Alan Westin, Privacy and Freedom, 1967



Is Limiting Access Sufficient?

- Individuals sometimes prefer to be let alone, yet sometimes want to be social
 - Privacy was traditionally "social withdrawal"

Privacy Regulation Theory (1975)

- Irwin Altman (social psychology)
 - Preceded by Altman and Taylor's Social Penetration Theory (1973) about intimacy in relationships
- Dialectic and dynamic process of boundary regulation
 - Continuous movement on a continuum
- Goal: optimum balance of privacy and social interaction



CPM Theory (1991)

- Sandra Petronio (communications)
 - Communication Privacy Management Theory
- Regulate boundaries based on perceived costs and benefits
 - Movement on a continuum
- Expect rule-based management
- Boundary turbulence related to clashing expectations



Purpose Matters



Privacy as Contextual Integrity (2004)

- Helen Nissenbaum (philosophy)
- "Contextual integrity ties adequate protection for privacy to norms of specific contexts, demanding that information gathering and dissemination be appropriate to that context."



Privacy as Contextual Integrity (2004)

- Appropriate flows of information
- Appropriate flows conform to contextual information norms
- Norms refer to the data subject, sender, recipient, information type, and transmission principle
- Conceptions of privacy evolve over time and are grounded in ethics

Dan Solove's Pluralistic Conceptions

- Some data isn't "sensitive," but its collection and use impact privacy
 - Impact power relationships
 - Kafka-esque
- Solove's privacy taxonomy
 - Information collection
 - Information processing
 - Information dissemination
 - Invasion



Privacy Law and Regulation

How Privacy is Protected

- Laws, self regulation, technology
 - Notice and access
 - Control over collection, use, deletion, sharing
 - Collection limitation
 - Use limitation
 - Security and accountability

OECD Fair Information Principles

- Collection limitation
- Data quality
- Purpose specification
- Use limitation
- Security safeguards
- Openness
- Individual participation
- Accountability
- http://www.privacyrights.org/ar/fairinfo.htm

US FTC's Fair Information Practice Principles (FIPPs)

- Notice / Awareness
- Choice / Consent
- Access / Participation
- Integrity / Security
- Enforcement / Redress
- https://en.wikipedia.org/wiki/FTC_Fair_Information_Practice



Privacy on the Books / on the Ground

- Data Protection Directive (1995, since superseded by GDPR) -EU countries must adopt similar comprehensive laws, recognize privacy as fundamental human right
 - Privacy commissions in each country
- US has sector-specific laws, minimal protections, "patchwork quilt"
 - No explicit constitutional right to privacy or general privacy law
 - Some privacy rights inferred from constitution
 - Narrow regulations for health (HIPAA 1996), credit (FCRA 1970), education (FERPA 1974), video rental records (VPPA 1998), children (COPPA 1998)
 - FTC investigates unfair & deceptive practices
 - FCC regulates telecommunications
 - Some state and local laws
- See Bamberger and Mulligan, "Privacy on the Books and on the Ground," https://www.jstor.org/stable/41105400

General Data Protection Regulation (2016)

- GDPR came into effect May 25, 2018 and applies to the EU
- Distinguishes between data subjects, controllers (people who direct analysis), and processors (those who do the analysis)
- Data controller informs the 'data subject in a concise, transparent, intelligible and easily accessible form, using clear and plain language'
- Right of access for data subjects
- Right of erasure (with some exceptions)
- Right to object to processing for some purposes
- Privacy by design (Article 25)

General Data Protection Regulation (2016)

- Pseudonymization required for stored personal data
- Data breach notification to authorities within 72 hours
- Possible fines of up to 4% of worldwide turnover
- Can only process data based on six lawful bases:
 - Consent
 - Contract
 - Public task
 - Vital interest
 - Legitimate interest
 - Legal requirement

US Approach is Centered on States



"[privacy advocates are] hoping to enact state-level privacy proposals that align closely with what Congress attempted to pass with the American Data and Privacy Protection Act: regulations that would limit what data companies can collect and share, create a data broker registry and establish new rights for Americans to delete data about themselves."

California: CCPA and CPRA

- California Consumer Privacy Act (CCPA) went into effect 1/1/2020
- Residents of California have rights to:
 - Know what personal data is collected
 - Know whether that data is sold
 - Refuse the sale of personal data
 - Access their data
 - Request erasure of their personal data
 - Not be discriminated against for exercising these privacy rights
- Fine of \$7,500 for intentional and \$2,500 for unintentional violations
- California Privacy Rights Act (CPRA) is a ballot measure that expands CCPA; most parts came into effect in 2023

Virginia Consumer Data Protection Act (2021)



Virginia Consumer Data Protection Act (2021)

- Went into effect January 1, 2023
- "The bill applies to all persons that conduct business in the Commonwealth and either (i) control or process personal data of at least 100,000 consumers or (ii) derive over 50 percent of gross revenue from the sale of personal data and control or process personal data of at least 25,000 consumers."
- "The bill grants consumer rights to access, correct, delete, and obtain a copy of personal data and to opt out of the processing of personal data for purposes of targeted advertising, the sale of personal data, or profiling of the consumer."

State of Affairs in 2023

 "So far this year, 16 states have proposed their own data privacy laws with varying degrees of protections. Bills in Indiana, Tennessee and Texas align with the industry-backed model, while regulations proposed in Kentucky and New York provide stronger protections, but are not based on the federal legislation."

https://www.politico.com/news/2023/02/22/statehouses-privacy-law-cybersecurity-00083775

and https://www.marketingbrew.com/stories/2022/12/21/where-privacy-regulation-stands-ahead-of-2023

Tools of the FTC in the US

- Unfair practices
 - Injure consumer
 - Violate established policy
 - Unethical
- Deceptive practices
 - Mislead consumer
 - Differ from reasonable consumer expectations





Privacy Issues

The Privacy Paradox

- When asked, we say we want privacy
- However, our behaviors make it seem like we don't care about privacy