

## The Virtual Machine Reference

### 1 Introduction

Project 4 involves generating code targeted to a stack-based virtual machine (VM). This document is a reference for the VM and includes a description of the machine model and instruction set. The Project 4 description discussed the code generation interface.

### 2 The Flang virtual machine

In this section, we describe the **Flang** virtual machine (VM). The virtual machine is a stand-alone program that takes an executable file and runs it. An VM executable consists of a code sequence, a literal table that contains string literals, and a C function table that contains runtime system functions used to implement services such as I/O.

#### 2.1 Values

The VM supports three types of values: 31-bit tagged integers, 32-bit pointers to heap-allocated records of values, and 32-bit pointers to strings. A integer value  $n$  is represented by  $2n + 1$  in the VM (this tagging is required for the garbage collector). The VM takes care of tagging/untagging, so the only impact of this representation on your code generator is that integer literals must be in the range  $-2^{30}$  to  $2^{30} - 1$ . We use word address for values (but byte addressing for instructions).

#### 2.2 Registers

The **Flang** VM has four special registers: the stack pointer (SP), which points to the current top of the stack; the frame pointer (FP), which points to the base of the current stack frame and is used to access local variables; the environment pointer (EP), which points to the current closure object and is used to access global variables; and the program counter (PC), which points to the next instruction to execute.

### 3 Instructions

We define the semantics of the instructions using the following notation

$$\dots \alpha \text{ instr} \implies \dots \beta$$

which means that the instruction **instr** takes a stack configuration with  $\alpha$  on the top and maps it to a stack with  $\beta$  on the top. The instructions are organized by kind in the following description.

### 3.1 Arithmetic instructions

These instructions operate on 31-bit tagged integer values. The untagging and tagging is handled automatically.

- $\dots i_1 i_2 \text{ **add** } \implies \dots (i_1 + i_2)$   
 pops the top two integers, adds them and pushes the result.
- $\dots i_1 i_2 \text{ **sub** } \implies \dots (i_1 - i_2)$   
 pops the top two integers, subtracts them and pushes the result.
- $\dots i_1 i_2 \text{ **mul** } \implies \dots (i_1 \times i_2)$   
 pops the top two integers, multiplies them and pushes the result.
- $\dots i_1 i_2 \text{ **div** } \implies \dots (i_1 / i_2)$   
 pops the top two integers, divides them, and pushes the result. The VM halts with an error if  $i_2$  is zero.
- $\dots i_1 i_2 \text{ **mod** } \implies \dots i_1 \bmod i_2$   
 pops the top two integers, divides them, and pushes the remainder. The VM halts with an error if  $i_2$  is zero.
- $\dots i \text{ **neg** } \implies \dots -i$   
 pops the integer on the top of the stack and pushes its negation.

### 3.2 Comparison instructions

- $\dots v_1 v_2 \text{ **equ** } \implies \dots b$   
 pops and compares the two values on top of the stack. If they are equal, then it pushes 1, otherwise it pushes 0. Note that if the values are pointers, then the comparison pushes true if the pointers are equal
- $\dots i_1 i_2 \text{ **less** } \implies \dots b$   
 pops and compares the two integers on top of the stack. If  $i_1 < i_2$ , then it pushes 1, otherwise it pushes 0.
- $\dots i_1 i_2 \text{ **lesseq** } \implies \dots b$   
 pops and compares the two integers on top of the stack. If  $i_1 \leq i_2$ , then it pushes 1, otherwise it pushes 0.
- $\dots v \text{ **not** } \implies \dots b$   
 pops  $v$  and pushes 1 if  $v = 0$ ; otherwise it pushes 0.
- $\dots v \text{ **boxed** } \implies \dots b$   
 pops  $v$  and pushes 1 if  $v$  is boxed; otherwise it pushes 0.

### 3.3 String instructions

$\dots s \text{ \textbf{size}} \implies \dots i$

pops the string  $s$  off the stack and pushes its length.

$\dots s i \text{ \textbf{subscript}} \implies \dots c$

pops the integer  $i$  and the string  $s$  off the stack and pushes the character at position  $i$  in the string. The VM halts with an error if  $i$  is out of bounds.

### 3.4 Heap instructions

$\dots v_0 \dots v_{n-1} \text{ \textbf{alloc}(n)} \implies \dots \langle v_0, \dots, v_{n-1} \rangle$

allocates an  $n$  element record, which is initialized from the top  $n$  stack values.

$\dots \langle v_0, \dots, v_{n-1} \rangle \text{ \textbf{explode}} \implies \dots v_0 \dots v_{n-1}$

pops a tuple off the stack and pushes its elements.

$\dots \langle v_0, \dots, v_{n-1} \rangle \text{ \textbf{select}(i)} \implies \dots v_i$

pops a record off the stack and pushes the record's  $i$ th component.

### 3.5 Stack instructions

$\dots \text{ \textbf{int}(n)} \implies \dots n$

pushes the integer  $n$  onto the stack.

$\dots \text{ \textbf{literal}(i)} \implies \dots s_i$

pushes a reference to the  $i$ th string literal ( $s_i$ ) onto the stack.

$\dots \text{ \textbf{label}(l)} \implies \dots addr$

pushes the code address named by the label. Note that in the encoding of this instruction, the code address is specified as an offset from the **label** instruction.

$\dots v_1 v_2 \text{ \textbf{swap}} \implies \dots v_2 v_1$

swaps the top two stack elements (equivalent to **swap(1)**).

$\dots v_0 v_1 \dots v_{n-1} v_n \text{ \textbf{swap}(n)} \implies \dots v_n v_1 \dots v_{n-1} v_0$

swaps the top stack element with the  $n$ 'th from the top. All other stack elements are unchanged.

$\dots v \text{ \textbf{pop}} \implies \dots$

pops and discards the top stack element.

$\dots v_1 \dots v_n \text{ \textbf{pop}(n)} \implies \dots$

pops and discards the top  $n$  stack elements.

$\dots v \text{ \textbf{dup}} \implies \dots v v$

duplicate the top of the stack (equivalent to **swap(0)**).

$\dots v_n \dots v_0 \text{ \textbf{push}(n)} \implies \dots v_n \dots v_0 v_n$

pushes the  $n$ th element from the top of the stack.

- ... **loadlocal**( $n$ )  $\implies$  ...  $v$   
fetches the value ( $v$ ) in the word addressed by  $FP + n$  and pushes it on the stack. Note that a function's argument will be at offset 2, while the local variables start at offset  $-1$ .
- ...  $v$  **storelocal**( $n$ )  $\implies$  ...  
pops  $v$  off the stack and stores it in the word addressed by  $FP + n$ .
- ... **loadglobal**( $n$ )  $\implies$  ...  $v$   
fetches the value ( $v$ ) in the word addressed by  $EP + n$  and pushes it on the stack.
- ... **pushep**  $\implies$  ...  $ep$   
push the current contents of the EP on the stack.
- ...  $ep$  **poppep**  $\implies$  ...  
pop a value from the stack and store it in the EP.

### 3.6 Control-flow instructions

- ... **jmp**( $n$ )  $\implies$  ...  
transfer control to instruction  $PC + n$ .
- ...  $b$  **jmpif**( $n$ )  $\implies$  ...  
pops  $b$  off the stack and if  $b \neq 0$  it transfers control to instruction  $PC + n$ .
- ...  $addr$  **call**  $\implies$  ...  $pc$   
pop the destination address ( $addr$ ), push the current PC value (which will be the address of the next instruction), and transfers control to  $addr$ .
- ... **entry**( $n$ )  $\implies$  ...  $fp$   $w_1$  ...  $w_n$   
pushes the current value of the FP register and sets FP to SP. Then it allocates  $n$  uninitialized words on the stack.
- ...  $v$   $pc$   $fp$  ... **ret**  $\implies$  ...  $v$   
resets the stack pointer to the frame-pointer, pops the saved FP into the FP register, pops the return PC, and then jumps to the return address.
- ...  $v$   $pc$   $fp$  ...  $addr$  **tailcall**  $\implies$  ...  $v$   $pc$   
pops the code address  $addr$ , resets the stack pointer to the frame-pointer, pops the saved FP into the FP register, then transfers control to  $addr$ . This operation can be used to implement a tail call from a function  $f$  to a function  $g$ , where  $f$  and  $g$  have the same number of arguments.
- ...  $v_1$  ...  $v_m$  **ccall**( $n$ )  $\implies$  ...  $v$   
Calls the  $n$ th C function. The C function will pop its arguments ( $v_i$ ) from the stack and push its result.

### 3.7 Miscellaneous instructions

- ... **nop**  $\implies$  ...  
no operation.
- ... **halt**  $\implies$  ...  
halts the program.

## 4 Runtime functions

The VM provides the `ccall` instruction to invoke C functions. C functions expect their arguments on the stack and return their result on the stack.<sup>1</sup> C functions are specified by an index into the C function table.

The VM provides the following runtime system functions. We present them using the same convention that we used to present the semantics of the bytecode instruction set.

$\dots i \text{ `ccall` ("VM_arg")} \implies \dots s$   
pops the integer  $i$  and pushes the  $i$ th command-line argument (argument 0 is the name of the object file). The VM halts with an error if  $i$  is out of bounds.

$\dots \text{ `ccall` ("VM_argc")} \implies \dots i$   
pushes the number of command-line arguments (plus one) on the stack.

$\dots s_1 s_2 \text{ `ccall` ("VM_concat")} \implies \dots (s_1 \wedge s_2)$   
pops the strings  $s_1$  and  $s_2$  and pushes their concatenation.

$\dots s \text{ `ccall` ("VM_fail")} \implies \dots$   
pops the string  $s$ , prints it to standard error, and then halt the VM with an error.

$\dots s \text{ `ccall` ("VM_print")} \implies \dots 0$   
prints the string  $s$  to standard output and then pushes the `Unit` value on the stack.

## 5 Instruction encodings

Most instructions in the VM are either one, two, or three bytes long.<sup>2</sup> The first byte consists of a two-bit length field (bits 6 and 7), and a six-bit opcode field (bits 0-5). The length field encodes the number of extra instruction bytes (*i.e.*, zero for one-byte instructions, one for two-byte instructions, and two for three-byte instructions). In the case of the two and three byte instructions, the extra bytes contain immediate data (*e.g.*, the offset of a `load` instruction), which is stored in 2's complement big-endian format.<sup>3</sup> Figure 1 gives a list of the instructions and their lengths; note that some instructions have both one and two or two and three-byte forms. The actual opcodes for the VM instructions are given in the `opcode.sml` file, which is part of the sample code.

## 6 Running programs using the VM

To run a **Flang** program using the virtual machine, you first compile it to produce a VM object file (say `foo.vmo`) and then execute the following shell command:

$$\mathbf{vm} \text{ [options]} \text{ foo.vmo } arg_1 \dots arg_n$$

<sup>1</sup>The project handout states that “It is the responsibility of the caller to remove the arguments from the stack,” but I have decided that it is easier to let the runtime functions pop their arguments.

<sup>2</sup>The one exception is the `int` instruction, which has a five byte form.

<sup>3</sup>The term “big-endian” means that the most significant byte comes first. For example, the number  $513 = 2 * 256 + 1$  is represented as the byte sequence 2, 1.

Instruction	Length	Comment
<b>add, sub, mul, div, mod, neq, equ, less, lesseq, not, boxed, size, subscript</b>	1	
<b>alloc</b> ( $n$ )	2	if $0 \leq n < 256$
<b>alloc</b> ( $n$ )	3	if $256 \leq n < 2^{16}$
<b>select</b> ( $i$ )	2	if $0 \leq i < 256$
<b>select</b> ( $i$ )	3	if $256 \leq i < 2^{16}$
<b>explode</b>	1	
<b>int</b> ( $n$ )	2	if $-128 \leq n < 128$
<b>int</b> ( $n$ )	3	if $n < -128$ or $128 \leq n$
<b>int</b> ( $n$ )	5	if $n < -2^{15}$ or $2^{15} \leq n$
<b>literal</b> ( $n$ )	2	if $-128 \leq n < 128$
<b>literal</b> ( $n$ )	3	if $n < -128$ or $128 \leq n$
<b>label</b> ( $n$ )	2	if $-128 \leq n < 128$
<b>label</b> ( $n$ )	3	if $n < -128$ or $128 \leq n$
<b>swap</b>	1	
<b>swap</b> ( $n$ )	2	$0 \leq n < 256$
<b>push</b> ( $n$ )	2	$0 \leq n < 256$
<b>pop</b>	1	
<b>pop</b> ( $n$ )	2	$0 \leq n < 256$
<b>loadlocal</b> ( $n$ )	2	$-128 \leq n < 128$
<b>loadlocal</b> ( $n$ )	3	if $n < -128$ or $128 \leq n$
<b>storelocal</b> ( $n$ )	2	$-128 \leq n < 128$
<b>storelocal</b> ( $n$ )	3	if $n < -128$ or $128 \leq n$
<b>loadglobal</b> ( $n$ )	2	$n < 256$
<b>loadglobal</b> ( $n$ )	3	if $256 \leq n < 2^{16}$
<b>pushep, popep</b>	1	
<b>jmp</b> ( $n$ )	2	if $-128 \leq n < 128$
<b>jmp</b> ( $n$ )	3	if $n < -128$ or $128 \leq n$
<b>jmpif</b> ( $n$ )	2	if $-128 \leq n < 128$
<b>jmpif</b> ( $n$ )	3	if $n < -128$ or $128 \leq n$
<b>call</b> ( $n$ )	2	if $-128 \leq n < 128$
<b>call</b> ( $n$ )	3	if $n < -128$ or $128 \leq n$
<b>entry</b> ( $n$ )	2	$0 \leq n < 256$
<b>entry</b> ( $n$ )	3	if $256 \leq n < 2^{16}$
<b>ret, tailcall</b>	1	
<b>ccall</b> ( $i$ )	2	$0 \leq i < 256$
<b>nop, halt</b>	1	

Figure 1: VM instruction lengths

The virtual machine understands three options:

- h** print a help message describing the options.
- t** trace the program's execution
- g** display garbage collector messages

The name of the object file and the command-line arguments following it ( $arg_1 \dots arg_n$ ) are available to the **Flang** program using the `arg` built-in function.

## 7 Document history

**March 11** updated description of `VM_print` built-in function to note that it pushes the `Unit` value on the stack.

**March 11** added `size` and `subscript` to Figure 1.

**March 1** Original version.