

1 Problem 1. (20 pts)

Do Exercise 18.6.2.

We define a *meta*-operation $+$ on types as follows: If R is a record type with labels given by $labels(R)$ and with the field type for label m denoted by $R(m)$, then

$$R' = R + \{l : T_1\}$$

is a record type such that

$$labels(R') = labels(R) \cup \{l\}$$

and with field types given by

$$\begin{aligned} R'(m) &= T_1 \text{ if } m = l \\ &= R(m) \text{ if } m \in labels(R) - \{l\} \end{aligned}$$

That is $R + \{l : T_1\}$ is a record that *extends* R with a new field with label l of type T_1 . The label l may be among the fields of R , in which case the existing field is overridden. Note that the $+$ operator is not part of the type language; it is a meta-notation for expressing a derived record type.

This version of the *with* operation assumes that we are adding/overriding one field. A more general version would allow the concatenation of two arbitrary record values. This is a fairly straightforward generalization of the development below.

Syntax. The only syntactic category that is changed is terms, and types and values remain as before:

$$t ::= \dots \mid t \text{ with } \{l : T\}$$

Typing Rules. There is one new typing rule:

$$\frac{\Gamma \vdash t_1 : R \quad \Gamma \vdash t_2 : T_2}{\Gamma \vdash t_1 \text{ with } \{l : t_2\} : R + \{l : T_2\}} \quad (\text{T-WITH})$$

Evaluation Rules.

$$\frac{t_1 \rightarrow t'_1}{t_1 \text{ with } \{l : t_2\} \rightarrow t'_1 \text{ with } \{l : t_2\}} \quad (\text{E-WITHLEFT})$$

$$\frac{t_2 \rightarrow t'_2}{v_1 \text{ with } \{l : t_2\} \rightarrow v_1 \text{ with } \{l : t'_2\}} \quad (\text{E-WITHRIGHT})$$

$$v_1 \text{ with } \{l : v_2\} \rightarrow v \quad (\text{E-WITH})$$

where v is the concatenation of the record values:

$$labels(v) = labels(v_1) \cup \{l\}$$

$$\begin{aligned} v(m) &= v_2 \text{ if } m = l \\ &= v_1(m) \text{ if } m \in labels(v_1) - \{l\} \end{aligned}$$

2 Problem 2. (20 pts)

Redo the examples of Section 20.1 using the isorecursive types of Figure 20.1.

Lists.

$$NatList = \mu X. \langle nil : Unit, cons : Nat \times X \rangle$$

$$nil = fold[NatList](\langle nil = unit \rangle \text{ as } \langle nil : Unit, cons : Nat \times NatList \rangle)$$

$$cons = \lambda n : Nat. \lambda l : NatList. (\langle cons = (n, l) \rangle \text{ as } \langle nil : Unit, cons : Nat \times NatList \rangle)$$

$$isnil = \lambda l : NatList. \text{case unfold}[NatList] l \text{ of } \langle nil = u \rangle \Rightarrow true \mid \langle cons = p \rangle \Rightarrow false$$

$$hd = \lambda l : NatList. \text{case unfold}[NatList] l \text{ of } \langle nil = u \rangle \Rightarrow 0 \mid \langle cons = p \rangle \Rightarrow p.1$$

$$tl = \lambda l : NatList. \text{case unfold}[NatList] l \text{ of } \langle nil = u \rangle \Rightarrow nil \mid \langle cons = p \rangle \Rightarrow p.2$$

$$sumlist = unchanged$$

Hungry

$$Hungry = \mu A. Nat \rightarrow A$$

$$f = fix(\lambda f : Nat \rightarrow Hungry. \lambda n : Nat. fold[Hungry] f$$

Streams

$$Stream = \mu A. Unit \rightarrow Nat \times A$$

$$hd = \lambda s. (unfold[Stream] s unit).1$$

$$tl = \lambda s. (unfold[Stream] s unit).2$$

$$upfrom = fix(\lambda f : Nat \rightarrow Stream. \lambda n : Nat. fold[Stream] (\lambda _ : Unit. (n, f(succ(n))))))$$

$$upfrom0 = upfrom 0$$

Processes

Process = $\mu A. \text{Nat} \rightarrow (\text{Nat} \times A)$

p1 = $\text{fix}(\lambda f : \text{Nat} \rightarrow \text{Process}. \lambda \text{acc} : \text{Nat}. \text{fold}[\text{Process}](\lambda n : \text{Nat}. \text{let } \text{nacc} = \text{plus acc } n \text{ in } (\text{nacc}, f \text{ nacc})))$

p = p 0

curr = $\lambda s : \text{Process}. (\text{unfold}[\text{Process}] s 0).1$

send = $\lambda n. \lambda s : \text{Process}. (\text{unfold}[\text{Process}] s n).2$

Objects

Counter = $\mu C. \{\text{get} : \text{Nat}, \text{inc} : \text{Unit} \rightarrow C, \text{dec} : \text{Unit} \rightarrow C\}$

c = $\text{let create} = \text{fix}(\lambda f : \{x : \text{Nat}\} \rightarrow \text{Counter}. \lambda s : \{x : \text{Nat}\}. \text{fold}[\text{Counter}]$
 $\{\text{get} = s.x,$
 $\text{inc} = \lambda_ : \text{Unit}. f\{x = \text{succ}(ms.x)\},$
 $\text{dec} = \lambda_ : \text{Unit}. f\{x = \text{pred}(ms.x)\}\})$
in create $\{x = 0\}$

Fixed Point Operator (CBN)

fix_T = $\lambda f : T \rightarrow T.$
 $(\lambda x : (\mu A. A \rightarrow T). f(\text{unfold}[\mu A. A \rightarrow T] x x))$
 $(\text{fold}[\mu A. A \rightarrow T] ((\lambda x : (\mu A. A \rightarrow T). f(\text{unfold}[\mu A. A \rightarrow T] x x)))$

Untyped Lambda Calculus

D = $\mu X. X \rightarrow X$

lam = $\lambda f : D \rightarrow D. \text{fold}[D] f$

ap = $\lambda f : D. \lambda a : D. \text{unfold}[D] f a$

D = $\mu X. \langle \text{nat} : \text{Nat}, \text{fn} : X \rightarrow X \rangle$

lam = $\lambda f : D \rightarrow D. \text{fold}[D] (\langle \text{fn} = f \rangle \text{ as } \langle \text{nat} : \text{Nat}, \text{fn} : D \rightarrow D \rangle)$

ap = $\lambda f : D. \lambda a : D. \text{case } \text{unfold}[D] f \text{ of } \langle \text{nat} = n \rangle \Rightarrow \text{diverge}_D \text{ unit} \mid \langle \text{fn} = f \rangle \Rightarrow f \text{ aa}$

3 Problem 3. (20 pts)

Redo the examples of the previous exercise in Standard ML.

NatList

```
datatype NatList = NIL | CONS of int * NatList

val cons = (fn (n,l) => cons (n,l))

fun isnil NIL = true
  | isnil _ = false

fun hd NIL = 0
  | hd (CONS(n,_)) = n

fun tl NIL = NIL
  | tl (CONS(_,l)) = l

fun sumlist (l: NatList) =
  if isnil l then 0 else hd l + sumlist (tl l)

fun sumlist NIL = 0
  | sumlist (n::l) = n + sumlist l
```

Hungry

```
datatype Hungry = H of int -> Hungry

fun f0 n = H f0

val f : Hungry = H f0

fun ap (H f: Hungry) n = f n

ap (ap f 0) 1
```

Stream

```
datatype Stream = S of unit -> int * Stream

fun hd (S f) = #1(f ())

fun tl (S f) = #2(f ())

fun upfrom n = S(fn () => (n, upfrom(n + 1)))

val upfrom0 = upfrom 0
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Process

```

datatype Process = P of int -> (int * Process)

fun pf acc = P(fn n => let val newacc = acc + n in (newacc, pf newacc) end

val p = pf 0

fun curr (P s: Process) = #1(s 0)

fun send (n: int) (P s: Process) = #2(s n)

```

Fixed Point Operator (CBV)

```

datatype 'a f = F of 'a f -> 'a

fun unF (F f) = f

fun 'a fix (f : 'a -> 'a) =
  (fn (x: 'a f) => f ((unF x) x))(F(fn (x: 'a f) => f ((unF x) x)))

```

Untyped Lambda Calculus

```

datatype D = MkD of D -> D

fun lam (f : D -> D) = MkD f

fun ap (MkD f: D) (a: D) = f a

datatype D' = Nat of int | Fn of D' -> D'

fun lam' (f: D' -> D') = Fn f

fun ap' (Fn f: D') (a: D') = f a
  | ap' (Nat n: D') (a: D') = raise Fail "ap'"

```

4 Problem 4. (20 pts)

Prove Theorem 23.5.1 (Preservation for the polymorphic lambda calculus, Figure 23.1). Give only the new cases involving the polymorphic constructs of the language.

Theorem: $\Gamma \vdash t : T \ \& \ t \rightarrow t' \Rightarrow \Gamma \vdash t' : T$.

Proof: We prove this by induction on the rules deriving $t \rightarrow t'$. We need only deal with the new cases involving polymorphism, namely the evaluation rules (E-TAPP) and (E-TAPPTABS).

Case: $t \rightarrow t'$ by (E-TAPP).

So $t = t_1[T_2]$ and $t' = t'_1[T_2]$ where:

$$(1) \quad t_1 \rightarrow t'_1$$

By Inversion, there exists T_{12} such that

$$(2) \quad T = [X \mapsto T_2]T_{12}$$

$$(3) \quad \Gamma \vdash t_1 : \forall X. T_{12}$$

Then by the Induction Hypothesis and (1) and (2) we have

$$(4) \quad \Gamma \vdash t'_1 : \forall X. T_{12}$$

Therefore by (T-TAPP) we have:

$$(5) \quad \Gamma \vdash t'_1[T_2] : [X \mapsto T_2] T_{12}$$

and hence

$$(6) \quad \Gamma \vdash t' : T$$

Case: $t \rightarrow t'$ by (E-TAPPTABS).

Then for some X, t_{12}, T_2 we have

$$(1) \quad t = (\lambda X. t_{12})[T_2]$$

$$(2) \quad t' = [X \mapsto T_2] t_{12}$$

By inversion of $\Gamma \vdash (\lambda X. t_{12})[T_2] : T$ there exists T_{12} such that

$$(3) \quad T = [X \mapsto T_2] T_{12}$$

$$(4) \quad \Gamma \vdash \lambda X. t_{12} : \forall X. T_{12}$$

Then by Inversion of (4) we have

$$(5) \quad \Gamma, X \vdash t_{12} : T_{12}$$

Now we need to make use of the following Substitution Lemma for types:

Lemma[Substitution for Types]. For any S ,

$$\Gamma, X, \Delta \vdash t : T \Rightarrow \Gamma, [X \mapsto S] \Delta \vdash [X \mapsto S] t : [X \mapsto S] T$$

Now applying this lemma to (5) with $S = T_2$ and $\Delta = \emptyset$, we have

$$(6) \quad \Gamma \vdash [X \mapsto T_2] t_{12} : [X \mapsto T_2] T_{12}$$

and hence, by (2) and (3),

$$(7) \quad \Gamma \vdash t' : T$$

Proof of Substitution Lemma for Types.

We prove this by induction on the typing rules.

For any construct α , let α^* be $[X \mapsto S] \alpha$. So we must show that

$$(1) \quad \Gamma, \Delta^* \vdash t^* : T^*$$

Case: The hypothesis holds by (T-VAR).

Then $t = x$ and by Inversion, $x : T \in \Gamma, X, \Delta$. Note also that $x^* = x$.

There are two cases:

(i) $x : T \in \Gamma$: Then we note that X is not free in Γ , and therefore $T^* = T$. So

$$(2) \quad \Gamma, \vdash x : T$$

which is equivalent to

$$(3) \quad \Gamma, \vdash x^* : T^*$$

Then by the appropriate Weakening Lemma, noting that $x \notin \text{Dom}(\Delta)$, we have:

$$(4) \quad \Gamma, \Delta^* \vdash x^* : T^*$$

(ii) $x : T \in \Delta$: In this case, X may occur free in T . It is clear from the definition of $[X \mapsto S]\Delta$ that

$$(5) \quad x : [X \mapsto S]T \in [X \mapsto S]\Delta$$

$$(6) \quad [X \mapsto S]\Delta \vdash x : [X \mapsto S]T \quad \text{by (T-VAR)}$$

and hence by Weakening

$$(7) \quad \Gamma, [X \mapsto S]\Delta \vdash x : [X \mapsto S]T \quad \text{QED}$$

Case: (T-ABS) so $t = \lambda x : T_1. t_2$ and $T = T_1 \rightarrow T_2$, where

$$(8) \quad \Gamma, X, \Delta, x : T_1 \vdash t_2 : T_2$$

By the Induction Hypothesis,

$$(9) \quad \Gamma, X, (\Delta, x : T_1)^* \vdash t_2^* : T_2^* \quad \text{hence}$$

$$(10) \quad \Gamma, X, \Delta^*, x : T_1^* \vdash t_2^* : T_2^* \quad \text{hence, by (T-ABS)}$$

$$(11) \quad \Gamma, X, \Delta^* \vdash (\lambda x : T_1^*. t_2^*) : T_2^* \quad \text{hence}$$

$$(12) \quad \Gamma, X, \Delta^* \vdash (\lambda x : T_1. t_2)^* : T_2^* \quad \text{QED}$$

Case: (T=TABS) so $t = \lambda Y. t_1$ and $T = \forall Y. T_1$, where

$$(13) \quad \Gamma, X, \Delta, Y \vdash t_1 : T_1$$

and we can assume $X \neq Y$ and hence $Y^* = Y$. By the Induction Hypothesis

$$(14) \quad \Gamma, X, (\Delta, Y)^* \vdash t_1^* : T_1^*, \quad \text{or}$$

$$(15) \quad \Gamma, X, \Delta^*, Y^* \vdash t_1^* : T_1^*, \quad \text{hence}$$

$$(16) \quad \Gamma, X, \Delta^*, Y \vdash t_1^* : T_1^*, \quad \text{since } Y^* = Y$$

Then by (T-TABS) we have

$$(17) \quad \Gamma, X, \Delta^* \vdash \lambda Y. t_1^* : \forall Y. T_1^*, \quad \text{or, equivalently,}$$

$$(18) \quad \Gamma, X, \Delta^* \vdash (\lambda Y. t_1)^* : (\forall Y. T_1)^*, \quad \text{QED}$$

The other, simpler cases are left as exercises.

5 Problem 5. (20 pts)

Prove the Progress theorem for Existential types (Figure 24.1). Give only the new cases involving the existential type constructs.

Theorem: $\vdash t : T \Rightarrow t$ is a value, or $\exists t'. t \rightarrow t'$

Proof: We prove this by induction on the typing rules for $\vdash t : T$, doing only the cases associated with existential types.

Case: (T-PACK). So

$$(1) \quad t = \{U, t_2\} \text{ as } \{\exists X, T_2\}$$

$$(2) \quad T = \{\exists X, T_2\}$$

By Inversion, we have

$$(3) \quad \Gamma \vdash t_2 : [X \mapsto U]T_2$$

By the Induction Hypothesis, either

$$(4) \quad t_2 \text{ is a value, or}$$

$$(5) \quad \exists t'_2. t_2 \rightarrow t'_2$$

If t_2 is a value, then so is t , and we are done. So suppose that (5) holds. Then by (E-PACK), we have

$$(6) \quad t \rightarrow \{U, t'_2\} \text{ as } \{\exists X, T_2\} \quad \text{QED}$$

Case: (T-UNPACK). So

$$(1) \quad t = \text{let } \{X, x\} = t_1 \text{ in } t_2$$

By Inversion, there exists a type T_{12} such that

$$(2) \quad \Gamma \vdash t_1 : \{\exists X, T_{12}\} \quad \text{and}$$

$$(3) \quad \Gamma, X, x : T_{12} \vdash t_2 : T$$

By the Induction Hypothesis and 2), we have either

$$(4) \quad t_1 \text{ is a value, or}$$

$$(5) \quad \exists t'_1. t_1 \rightarrow t'_1$$

If (4) is the case, then by the Canonical Forms Lemma (appropriately extended), we have

$$(6) \quad t_1 = \{^*U, v_1\} \text{ as } \{\exists X, T_{12}\}$$

for some type U and value v_1 . Then by (E-UNPACKPACK) we have

$$(7) \quad t \rightarrow [X \mapsto U][x \mapsto v_1]t_2$$

and we are done. If (5) holds, then by (E-UNPACK), we have

$$(8) \quad t \rightarrow \text{let } \{X, x\} = t'_1 \text{ in } t_2$$

and so t makes a transition and we are done.