

Lecture 10: April 20, 2005

Instructor: László Babai

Scribe: Raghav Kulkarni

TA SCHEDULE: TA sessions are held in Ryerson-255, Monday, Tuesday and Thursday 5:30–6:30pm.

INSTRUCTOR'S EMAIL: laci@cs.uchicago.edu

TA's EMAIL: hari@cs.uchicago.edu, raghav@cs.uchicago.edu

IMPORTANT: Take-home test Friday, April 29, due Monday, May 2, before class.

## Perfect Graphs

Shannon capacity of a graph  $G$  is:  $\Theta(G) := \lim_{k \rightarrow \infty} (\alpha(G^k))^{1/k}$ .

**Exercise 10.1** Show that  $\alpha(G) \leq \chi(\overline{G})$ . ( $\overline{G}$  is the complement of  $G$ .)

**Exercise 10.2** Show that  $\chi(\overline{G \cdot H}) \leq \chi(\overline{G})\chi(\overline{H})$ .

**Exercise 10.3** Show that  $\Theta(G) \leq \chi(\overline{G})$ .

So,  $\alpha(G) \leq \Theta(G) \leq \chi(\overline{G})$ .

*Definition:*  $G$  is *perfect* if for all induced subgraphs  $H$  of  $G$ ,  $\alpha(\overline{H}) = \chi(H)$ , i. e., the chromatic number is equal to the clique number.

**Theorem 10.4 (Lovász)**  $G$  is perfect iff  $\overline{G}$  is perfect.

(This was open under the name “weak perfect graph conjecture.”)

**Corollary 10.5** If  $G$  is perfect then  $\Theta(G) = \alpha(G) = \chi(\overline{G})$ .

**Exercise 10.6** (a)  $K_n$  is perfect. (b) All bipartite graphs are perfect.

**Exercise 10.7** Prove: If  $G$  is bipartite then  $\overline{G}$  is perfect. Do not use Lovász's Theorem (Theorem 10.4).

The smallest imperfect (not perfect) graph is  $C_5$  :  $\alpha(\overline{C_5}) = 2$ ,  $\chi(C_5) = 3$ .  
For  $k \geq 2$ ,  $C_{2k+1}$  imperfect.

*Definition:* A graph is *minimally imperfect* if it is imperfect but deleting any vertex leaves a perfect graph.

**Exercise 10.8** For  $k \geq 2$ ,  $C_{2k+1}$  and its complement are *minimally imperfect*.

**The Perfect Graph Conjecture (Berge):** These are the only minimally imperfect graphs. This was proved recently in a monumental paper:

**Theorem 10.9 (Perfect Graph Theorem)** (Maria Chudnovsky, Neil Robertson, Paul Seymour, Robin Thomas, 2005) *The odd cycles of length  $\geq 5$  and their complements are all the minimally imperfect graphs.*

*Definition:* A *partially ordered set* (poset)  $\mathcal{P} = (S, R)$  is a set  $S$  with a relation  $R \subseteq S \times S$  such that  $R$  is

- (a) reflexive ( $(x, x) \in R$ )
- (b) symmetric ( $(x, y) \in R$  and  $(y, x) \in R \Rightarrow x = y$ )
- (c) transitive ( $(x, y) \in R$  and  $(y, z) \in R \Rightarrow (x, z) \in R$ ).

$R$  is usually denoted by " $\leq$ ," so instead of " $(x, y) \in R$ " we write " $x \leq y$ ."

*Examples:* 1) Family of sets with respect to inclusion.

2) Positive integers with respect to divisibility.

*Definitions:* (i) In a poset  $\mathcal{P} = (S, \leq)$ ,  $a$  and  $b$  are *comparable* if  $a \leq b$  or  $b \leq a$ .

(ii) The *comparability graph* of  $\mathcal{P} = (S, \leq)$  is a graph  $G = (S, E)$ , where  $E = \{\text{comparable pairs of distinct elements of } S\}$ .

(iii) A clique in the comparability graph of a poset is a *chain* in the poset:  $a_1 < a_2 < \dots < a_k$ .

*Example of a chain among integers with respect to divisibility:*  $2|6|42|210$ .

(iv) An independent set in the comparability graph is called an *antichain*, e.g.  $\{10, 12, 35\}$

*Observation.* If  $G$  is the comparability graph of a poset  $\mathcal{P} = (S, \leq)$  then the chromatic number of  $G$  is the minimum number of colors to color  $S$  such that the vertices of each color form an antichain.

**Exercise 10.10** Show that  $\chi(G) = \text{size of a maximum chain}$ .

(Hint: To prove  $\leq$ , use induction on the length of maximum chain.)

*Observation:* An induced subgraph of a comparability graph is a comparability graph.

**Corollary 10.11** *Comparability graphs are perfect.*

Using Lovász Theorem (Theorem 10.4), we have:

**Corollary 10.12** *Incomparability graphs are perfect.*

This translates to Dilworth's celebrated theorem:

**Corollary 10.13 (Dilworth, 1947)** *The size the largest antichain in a poset = minimum number of chains into which the poset can be partitioned.*

**Exercise 10.14** *Prove: The size the largest antichain in a poset  $\leq$  minimum number of chains into which the poset can be partitioned. (Hint: PHP.) (This is the trivial direction of Dilworth's theorem.)*

*Definition:* The *power-set* of a set  $S :=$  set of all subsets of  $S$ .

This is a poset under inclusion. An antichain of size  $\binom{n}{\lfloor \frac{n}{2} \rfloor}$  can be found in the power-set of  $S$  if  $|S| = n$ . (Take all subsets of size  $\lfloor \frac{n}{2} \rfloor$ .)

**Theorem 10.15 (Sperner's Theorem)** *If  $A_1, \dots, A_m \subseteq [n]$ , are pairwise incomparable, then  $m \leq \binom{n}{\lfloor \frac{n}{2} \rfloor}$ .*

**Exercise 10.16 \*** *Prove Sperner's Theorem by dividing the power-set into  $\binom{n}{\lfloor \frac{n}{2} \rfloor}$  chains.*

**Theorem 10.17 (LYM inequality)** *If  $A_1, \dots, A_m \subseteq [n]$ , are pairwise incomparable, then  $\sum_{i=1}^m \frac{1}{\binom{n}{|A_i|}} \leq 1$ .*

An antichain of sets is also called a "Sperner family."

**Exercise 10.18** *Prove: The LYM inequality implies the Sperner's Theorem.*

**Exercise 10.19** *Let  $r_1, r_2, \dots, r_n > 0$  real numbers,  $b > 0$ . Show that*

*$P(\sum_{i=1}^n a_i r_i = b) \leq \frac{c}{\sqrt{n}}$  where the coefficients  $a_i$  are decided by coin tosses: we set  $a_i = 1$  if the  $i$ -th coin comes up Heads and  $a_i = 0$  if the  $i$ -th coin comes up Tails.*

**Exercise 10.20 (Ramsey number  $R(3, 4)$ )** (a)  $9 \rightarrow (3, 4)$ . (b)  $8 \not\rightarrow (3, 4)$ .