

Lecture 11: April 22, 2005

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TA SCHEDULE: TA sessions are held in Ryerson-255, Monday, Tuesday and Thursday 5:30–6:30pm.

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IMPORTANT: Take-home test Friday, April 29, due Monday, May 2, before class.

## Partially ordered sets, Zorn's lemma

Let  $\mathcal{P} = (A, \leq)$  be poset. (The number of elements in  $A$  may be infinite.)

*Definitions:*

- (i)  $B \subseteq A$  is *bounded* if  $(\exists a \in A)(\forall b \in B)(b \leq a)$ .
- (ii)  $C \subseteq A$  is a *chain* if  $(\forall x, y \in C)(x \leq y \text{ or } y \leq x)$ .
- (iii)  $a \in A$  is *maximal* if  $(\nexists x \in A)(a < x)$ ; here  $a < x$  means  $a \leq x$  and  $a \neq x$ .
- (iv)  $a \in A$  is *greatest* if  $(\forall x \in A)(x \leq a)$ .

Observe that a greatest element is necessarily maximal but not conversely.

**Exercise 11.1** Let  $\mathcal{P} = (A, \leq)$  be a poset. True or false: (a) the empty set is a chain. (b) The empty set is bounded in  $\mathcal{P}$ .

**Exercise 11.2** (a) Show that every nonempty finite poset has a maximal element.  
(b) Show that a finite poset has a greatest element iff it has a unique maximal element.

**Exercise 11.3**  $\mathbb{N} = \{0, 1, \dots\}$  (a) with respect to the natural ordering, has no maximal element; (b) with respect to divisibility, has a maximal elements. It also has a minimal element (define!).

In  $(\mathbb{N}, \leq)$ , 0 is the greatest and 1 is the smallest element.

**Zorn's Lemma:** In a poset, if every chain is bounded then  $\exists$  a maximal element.

**Axiom of choice:** If  $\{A_i\}_{i \in I}$  is a family of non-empty sets then  $\exists$  a function  $f : I \rightarrow \bigcup_{i \in I} A_i$  such that  $(\forall i \in I)(f(i) \in A_i)$ .

Zorn's lemma is equivalent to the Axiom of Choice, given the rest of the axioms of set theory.

**Theorem 11.4** *Let  $k$  be a positive integer. Then  $k$ -colorability of graphs is a finitary property, i. e.,  $G$  is  $k$ -colorable iff every finite subgraph of  $G$  is  $k$ -colorable.*

**Proof:**  $\Rightarrow$  is obvious.

$\Leftarrow$  *Definition:*  $G$  is *finitely  $k$ -colorable* if every finite subgraph of  $G$  is  $k$ -colorable.

Let  $G = (V, E)$ . Let  $\mathcal{G}$  be the set of all finitely  $k$ -colorable spanning supergraphs of  $G$ . (Recall: a *spanning* supergraph of  $G$  is a supergraph which has the same set of vertices as  $G$ ; so a spanning supergraph is specified by specifying a superset of the edges of  $G$ .)

$\mathcal{G} = \{H = (V, F) \mid F \subseteq E \text{ and } H \text{ is finitely } k\text{-colorable}\}$ . Note that  $\mathcal{G}$  is *non-empty*.

**Exercise 11.5** *Prove: among all supergraphs of  $G$  on the vertex set  $V$  which are finitely  $k$ -colorable, there is a maximal one. (Hint: Zorn's lemma.)*

**Exercise 11.6** *Let  $H$  be a maximal  $k$ -colorable finite graph, i. e., adding any edge to  $H$  destroys the  $k$ -colorability. Prove:  $H$  is complete  $k$ -partite, i. e., the complement of the disjoint union of complete graphs.*

(Note: A finite graphs is  $k$ -partite iff it is  $k$ -colorable.)

Now back to the proof of Theorem 11.4. Let  $G_0$  be a maximal finitely  $k$ -colorable supergraph of  $G$  on the same vertex set  $V$ . It suffices to prove: **Claim:**  $G_0$  is complete  $k$ -partite.

**Subclaim:** The relation,  $a \sim b$  : “ $a = b$  or  $a$  is not adjacent to  $b$  in  $G_0$ ,” is an equivalence relation. (i. e.,  $\overline{G_0}$  is the disjoint union of the complete graphs.)

**Proof of Subclaim:** Consider three vertices  $a, b, c$  in  $G_0$ . Suppose  $\{a, c\}$  is an edge but  $\{a, b\}$  and  $\{b, c\}$  are not. Since  $G_0$  is maximal finitely  $k$ -colorable, adding the edge  $\{b, c\}$  to  $G_0$  makes some finite subgraph  $H_1$  of it not  $k$ -colorable. Similarly, adding  $\{a, b\}$  makes some finite subgraph  $H_2$  not  $k$ -colorable. Consider  $H = H_1 \cup H_2$ . Every  $k$  coloring of  $H$  must assign the same color to  $a$  and  $b$  (since  $H_1$  plus  $\{a, b\}$  is not  $k$ -colorable) and also it must assign the same color to  $b$  and  $c$  (since  $H_2$  plus  $\{b, c\}$  is not  $k$ -colorable). Therefore it will assign the same color to  $a$  and  $c$ , a contradiction, because  $a$  and  $c$  are adjacent and every finite subgraph of  $G_0$  is  $k$ -colorable.  $\square$

Therefore,  $\overline{G_0}$  is disjoint union of complete graphs. (Why?)

Therefore,  $G_0$  is a complete  $\ell$ -partite for some (finite or infinite  $\ell$ ). So  $G_0$  contains  $K_\ell$ ; therefore  $\ell \leq k$ . (Why?)  $\square$

**Corollary 11.7** *Borsuk's Theorem implies  $(\forall k, \ell)(\exists \text{ a finite graph } G \text{ with } \text{odd-girth}(G) \geq \ell \text{ and } G \text{ is not } k\text{-colorable})$ .*

**Exercise 11.8** *Prove the same using Kneser's Conjecture (Lovász's Theorem).*