CS 235: Introduction to Databases
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Lecture Notes #16

Outline
- Semistructured Data
- XML: eXtensible Markup Language
- DTD: Document Type Definitions

Framework

1. Information Integration: Making databases from various places work as one.
2. Semistructured Data: A new data model designed to cope with problems of information integration.
3. XML: A standard language for describing semistructured data, schemas and representing data.

Information Integration Problem

- Related data exists in many places and could, in principle, work together.
- But different databases differ in:
  1. Model (relational, object-oriented?).
  2. Schema (normalized/unnormalized?).
  3. Terminology: are consultants employees? Retirees? Subcontractors?
  4. Conventions (meters versus feet?).

Example

- Every bar has a database.
  - One may use a relational DBMS; another keeps the menu in an MS-Word document.
  - One stores the phones of distributors, another does not.
  - One distinguishes ales from other beers, another doesn't.
  - One counts beer inventory by bottles, another by cases.

Two Approaches to Integration

1. Warehousing: Make copies of the data sources at a central site and transform it to a common schema.
   - Reconstruct data daily/weekly, but do not try to keep it more up-to-date than that.
2. Mediation: Create a view of all sources, as if they were integrated.
   - Answer a view query by translating it to terminology of the sources and querying them.
Semistructured Data

- Purpose: represent data from independent sources more flexibly than either relational or object-oriented models.
- Think of objects, but with the type of each object is local, not that of a global “class.”
- Labels to indicate meaning of substructures.

Graphs of Semistructured Data

- Nodes = objects.
- Labels on arcs (attributes, relationships).
- Atomic values at leaf nodes (nodes with no arcs out).
- Flexibility: no restriction on:
  - Labels out of a node.
  - Number of successors with a given label.

Example: Data Graph

XML

- XML = eXtensible Markup Language.
- While HTML uses tags for formatting (e.g., “italic”), XML uses tags for semantics (e.g., “this is an address”).
- Key idea: create tag sets for a domain (e.g., genomics), and translate all data into properly tagged XML documents.
Well-Formed and Valid XML

• **Well-Formed XML** allows you to invent your own tags.
  – Similar to labels in semistructured data.
• **Valid XML** involves a DTD (Document Type Definition), which limits the labels and gives a grammar for their use.

Well-Formed XML

• Start the document with a *declaration*, surrounded by `<? ... ?>`.
• Normal declaration is:
  ```xml
  <? XML VERSION = "1.0" STANDALONE = "yes" ?>
  ```
  – "Standalone" = "no DTD provided."
• Balance of document is a *root tag* surrounding nested tags.

Tags

• Tags, as in HTML, are normally matched pairs, as `<FOO> ... </FOO>`.
• Tags may be nested arbitrarily.
• Tags requiring no matching ender, like `<P>` in HTML, are not permitted.

Example: Well-Formed XML

```xml
<? XML VERSION = "1.0" STANDALONE = "yes" ?>
<BARS>
  <BAR>
    <NAME>Joe's Bar</NAME>
    <BEER>
      <NAME>Bud</NAME>
      <PRICE>2.50</PRICE>
    </BEER>
    <BEER>
      <NAME>Miller</NAME>
      <PRICE>3.00</PRICE>
    </BEER>
  </BAR>
  ... ...
</BARS>
```

XML and Semistructured Data

• Well-Formed XML with nested tags is exactly the same idea as trees of semistructured data.
• We shall see that XML also enables non-tree structures, as does the semistructured data model.

Example

• The `<BARS>` XML document is:

```
NAME  BAR  BARS
    |     |
NAME  BEER BEER
    |     |
NAME  PRICE  PRICE
    |     |
Bud   2.50  Miller  3.00
    |     |
```
Document Type Definitions

- Essentially a context-free grammar for describing XML tags and their nesting.
- Each domain of interest (e.g., electronic components, bars-beers-drinkers) creates one DTD that describes all the documents this group will share.

DTD Structure

```xml
<!DOCTYPE <root tag> [
  <!ELEMENT <name> ( <components> )
  <more elements> ]>
```

DTD Elements

- The description of an element consists of its name (tag), and a parenthesized description of any nested tags.
  - Includes order of subtags and their multiplicity.
- Leaves (text elements) have #PCDATA in place of nested tags.

Example: DTD

```xml
<!DOCTYPE Bars [
  <!ELEMENT BARS (BAR*)>
  <!ELEMENT BAR (NAME, BEER+)>  
  <!ELEMENT NAME (#PCDATA)>  
  <!ELEMENT BEER (NAME, PRICE)>  
  <!ELEMENT PRICE (#PCDATA)> ]>
```

Element Descriptions

- Subtags must appear in order shown.
- A tag may be followed by a symbol to indicate its multiplicity.
  - * = zero or more.
  - + = one or more.
  - ? = zero or one.
- Symbol | can connect alternative sequences of tags.

Example: Element Description

- A name is an optional title (e.g., "Prof.")
- A first name, and a last name, in that order, or it is an IP address:
  ```xml
  <!ELEMENT NAME ( 
    (TITLE?, FIRST, LAST) | IPADDR 
  )>
  ```
Use of DTD’s

1. Set STANDALONE = “no”.
2. Either:
   a) Include the DTD as a preamble of the XML document, or
   b) Follow DOCTYPE and the <root tag> by SYSTEM and a path to the file where the DTD can be found.

Example with DTD

```xml
<?xml version = "1.0" standalone = "no" ?>
<!DOCTYPE Bars [
  <!ELEMENT BARS (BAR*)>
  <!ELEMENT BAR (NAME, BEER+)>
  <!ELEMENT NAME (#PCDATA)>
  <!ELEMENT BEER (NAME, PRICE)>
  <!ELEMENT PRICE (#PCDATA)>
]
<BARS>
  <BAR>
    <NAME>Joe's Bar</NAME>
    <BEER>
      <NAME>Bud</NAME>
      <PRICE>2.50</PRICE>
    </BEER>
    <BEER>
      <NAME>Miller</NAME>
      <PRICE>3.00</PRICE>
    </BEER>
  </BAR>
  ... 
</BARS>
```

Another Example with DTD

• Assume the BARS DTD is in file bar.dtd.

```xml
<?xml version = "1.0" standalone = "no" ?>
<!DOCTYPE Bars SYSTEM "bar.dtd">
<BARS>
  <BAR>
    <NAME>Joe's Bar</NAME>
    <BEER>
      <NAME>Bud</NAME>
      <PRICE>2.50</PRICE>
    </BEER>
    <BEER>
      <NAME>Miller</NAME>
      <PRICE>3.00</PRICE>
    </BEER>
  </BAR>
  ... 
</BARS>
```

Attributes

• Opening tags in XML can have attributes, like <A HREF = "..." /> in HTML.
• In a DTD, 
  ```xml
  <!ATTLIST <element name>...
  ```
gives a list of attributes and their datatypes for this element.

Example: Attributes

• Bars can have an attribute kind, which is either sushi, sports, or “other.”

```xml
<!ELEMENT BAR (NAME BEER*)>
<!ATTLIST BAR kind = "sushi" | "sports" | "singles" | "other">
```

Example: Attribute Use

• In a document that allows BAR tags, we might see:

```xml
<BAR kind = "sushi">
  <NAME>Kamehachi</NAME>
  <BEER>
    <NAME>Sapporo</NAME>
    <PRICE>5.00</PRICE>
  </BEER>
  ...
</BAR>
```
ID’s and IDREF’s

- These are pointers from one object to another, in analogy to HTML’s NAME = “foo” and HREF = “#foo”.
- Allows the structure of an XML document to be a general graph, rather than just a tree.

Creating ID’s

- Give an element $E$ an attribute $A$ of type ID.
- When using tag $<E>$ in an XML document, give its attribute $A$ a unique value.
- Example:
  
  \[
  <E A = "xyz">
  \]

Creating IDREF’s

- To allow objects of type $F$ to refer to another object with an ID attribute, give $F$ an attribute of type IDREF.
- Or, let the attribute have type IDREFS, so the $F$–object can refer to any number of other objects.

Example: ID’s and IDREF’s

- Let’s redesign our BARS DTD to include both BAR and BEER subelements.
- Both bars and beers will have ID attributes called name.
- Bars have PRICE subobjects, consisting of a number (the price of one beer) and an IDREF theBeer leading to that beer.
- Beers have attribute soldBy, which is an IDREFS leading to all the bars that sell it.

The DTD

```xml
<!DOCTYPE Bars [  
  <!ELEMENT BARS (BAR*, BEER*)>  
  <!ELEMENT BAR (PRICE+)>  
  <!ATTLIST BAR name = ID>  
  <!ELEMENT PRICE (#PCDATA)>  
  <!ATTLIST PRICE theBeer = IDREF>  
  <!ELEMENT BEER ()>  
  <!ATTLIST BEER name = ID, soldBy = IDREFS> ]>
```

Example XML Document

```xml
<BARS>
  <BAR name = "JoesBar">
    <PRICE theBeer = "Bud">2.50</PRICE>
    <PRICE theBeer = "Miller">3.00</PRICE>
  </BAR> …
  <BEER name = "Bud", soldBy = "JoesBar, SuesBar, ...">
    <PRICE theBeer = "Bud">2.50</PRICE>
  </BEER> …
</BARS>
```